

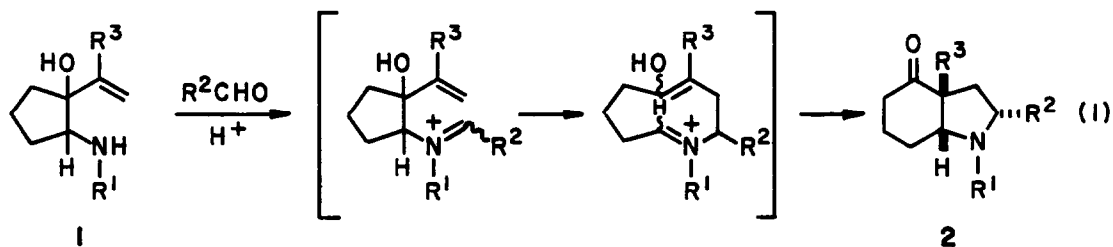
SYNTHESIS APPLICATIONS OF AZA-COPE REARRANGEMENTS. STEREOSELECTIVE SYNTHESIS
OF TRANS-2-ALKYL-CIS-3a-ARYLOCTAHYDROINDOLONES.^{1a}

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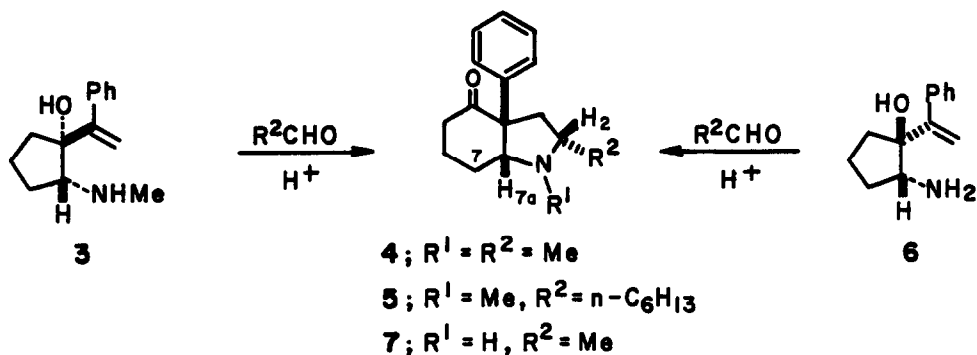
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Summary: The reaction of both cis- and trans-2-amino-1-(1-phenylvinyl)-cyclopentanols with aldehydes affords trans-2-alkyl-cis-3a-aryl-4-oxo-octahydroindoles stereoselectively.

The cis-3a-aryloctahydroindole ring system is found in a variety of natural products and pharmaceutical agents.² We recently reported the facile reaction of aminocyclopentanols 1 with formaldehyde and acid to give cis-3a-aryl-4-oxo-octahydroindoles stereoselectively and in good yield via a "Mannich-directed" cationic aza-Cope rearrangement^{3,4} (eq 1, R²=H, R³=Ar). In this Letter, we report that if an aldehyde is employed in this reaction, trans-2-alkyl-cis-3a-aryloctahydroindolones 2 are produced with high (>95%) stereoselectivity.

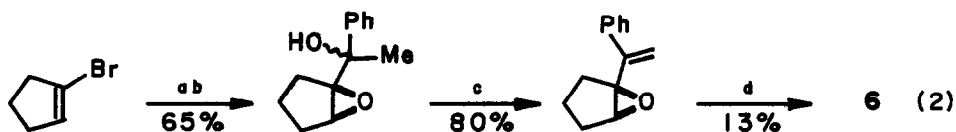


Reaction⁴ of aminoalcohol 3³ with acetaldehyde (2 equiv) and d-10-camphor-sulfonic acid (0.95 equiv) for 5 h in refluxing ethanol afforded a single product 4⁵ (>98% pure by capillary GC analysis) in 81% yield after purification on silica gel. Octahydroindolone 4 showed characteristic cyclohexanone carbonyl absorption at 1710 cm⁻¹ in the IR spectrum, a narrow multiplet at δ 3.19 for H_{7a}



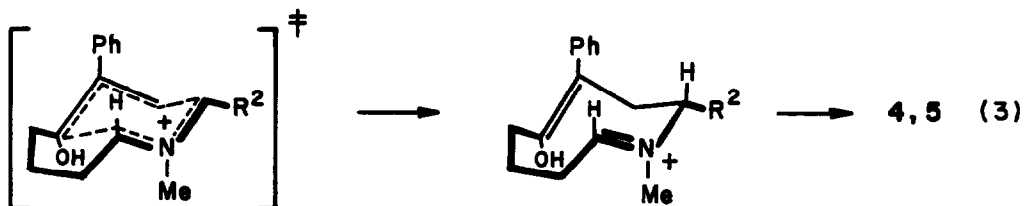
(half-height width = 5 Hz; consistent only with a *cis* ring-fusion^{3,6}), and complex absorption at δ 2.36 for H_2 (collapses to a dd, $J = 5.5$ and 10 Hz when the $\text{C}_2\text{-Me}$ is irradiated). The reaction of **3** with heptanal proceeded similarly and gave *cis*-octahydroindolone **5**⁵ (IR: 1710 cm^{-1} ; $^1\text{H NMR}$: δ 3.16, broad s, half-height width = 5 Hz) in 77% yield. In this case, GC analysis of the crude reaction mixture showed the presence of a minor product of similar retention time ($\sim 5\%$, assumed to be an isomer). The reaction of aminoalcohol **6**,⁵ which has *cis*-oriented amine and vinyl groups (prepared as summarized in eq 2), with acetaldehyde (4 equiv, 0.9 equiv RSO_3H , ethanol, reflux, 4 h) proceeded with similar selectivity to give a single product **7**⁵ in 66% yield. Octahydroindolone **7** showed a narrow multiplet in the $^1\text{H NMR}$ spectrum at δ 4.10 for H_{7a} (half-height width = 6 Hz)^{3,6} and complex absorption at δ 3.30 for H_2 (dd, $J = 5.9$ and 9.6 Hz when the $\text{C}_2\text{-Me}$ is irradiated). Methylation of **7** (NaH, MeI, rt) gave **4** in 92% yield.

The stereochemistry at C-2 for **4** and **7** follows from the unusual upfield positions of H_{7a} and H_2 in the $^1\text{H NMR}$ spectrum of **4**. In particular, N-methylation of **7** resulted in identical upfield shifts for H_{7a} (0.91 ppm) and H_2 (0.94 ppm).⁷ *cis*-Octahydroindolone **4** should exist preferentially in a conformation with the N-Me group on the β -face (*trans* to C_7 and the $\text{C}_2\text{-Me}$) and, thus, the C_{7a} and C_2 hydrogens should be *identically* shielded⁸ by the *syn* N-Me group and the *anti* electron pair. Large stereochemistry-dependent $^1\text{H NMR}$ shielding effects for hydrogens alpha to nitrogen have been observed for many N-alkylpyrrolidines.^{8,9}

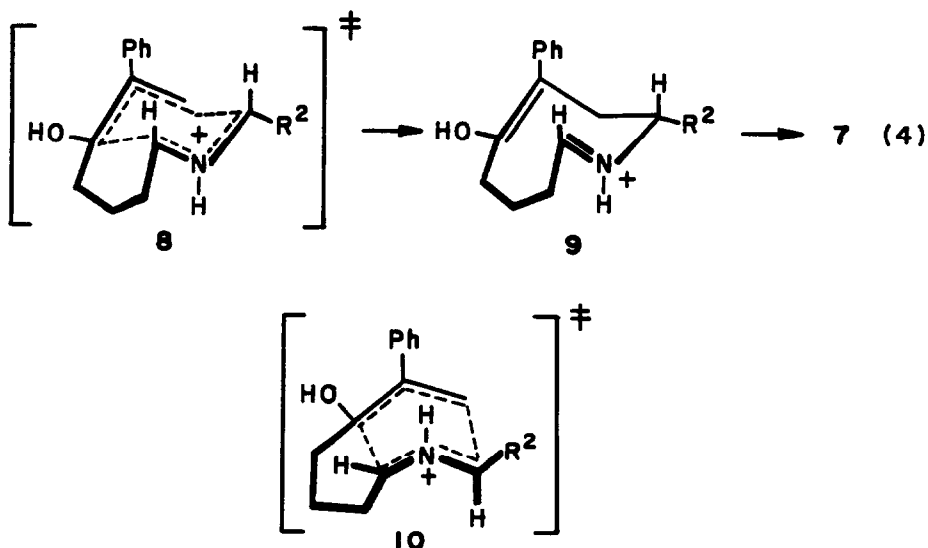


(a) Mg, THF; PhCOMe, reflux (b) m-CPBA, rt; (c) SOCl_2 , 1:1 $\text{Et}_3\text{N-THF}$, -10°C ; KOBU^t , 18-C-6, pentane, reflux; (d) NH_4OH , NH_4Cl , Pr^1OH , 170°C .

Preferential formation of the less stable trans-2-substituted-cis-octahydroindolone isomers must reflect kinetic control in the ring-enlarging pyrrolidine annulation reaction. If iminium ion formation is readily reversible, it is reasonable that cyclopentanol 3, which has trans-oriented amine and vinyl groups, should give 4 and 5. This prediction follows from an expected kinetic preference for pericyclic rearrangement of the E-iminium ion isomer (via a chair transition state^{3,10} with R² quasi equatorial, see eq. 3). A cis-octahydroin-



dolone could be formed from cyclopentanol 6 (cis-oriented amine and vinyl groups) via two topologically different pericyclic transition states (8 and 10).¹⁰ Preferential rearrangement of the E-iminium ion isomer in this case would lead¹⁰ to the observed product 7, only if rearrangement occurred via transition state 8. This conclusion is somewhat surprising since cis,trans-1,5-cyclononadiene (the carbocyclic analog of intermediate 9) is less stable¹¹ than the cis,cis-isomer. Nonetheless, rearrangement of 6 by the sequence described in eq 4 best rationalizes the experimental results obtained to date.¹²



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References and Notes:

1. (a) Part 7 in the series. For part 6, see: Overman, L.E.; Sworn, M.; Bass, L.S.; Clardy, J. Tetrahedron, 1981, 37, 4041. (b) NIH Postdoctoral Fellow 1980-81.
2. This ring system is found, for example, in alkaloids of the mesembrine, Amaryllidaceae, Aspidosperma, and Strychnos families. Cf. Dalton, D.R. "The Alkaloids. The Fundamental Chemistry", Marcel Dekker: New York, 1979.
3. Overman, L.E.; Mendelson, L.T. J. Am. Chem. Soc. 1981, 103, 5579.
4. Cf. Overman, L.E.; Kakimoto, M. J. Am. Chem. Soc. 1979, 101, 1310.
5. New compounds showed IR, 250 MHz ^1H NMR, ^{13}C NMR, and mass spectra consistent with their assigned structures, and had correct molecular compositions by high resolution mass spectral or combustion analysis.
6. Cf. Stevens, R.V.; Dupree, L.E.; Lowenstein, R.L. J. Org. Chem. 1972, 37, 977.
7. If the stereochemistry at C-2 were reversed, either (a) small upfield shifts would have been observed for these hydrogens resulting from a mixture of N-methyl conformers, or (b) only one of these hydrogens (the one syn to the N-Me group) would be shifted upfield.
8. Cf. Lambert, J.B.; Oliver, W.L. J. Am. Chem. Soc. 1969, 91, 7774; Breuer, E.; Melumad, D. J. Org. Chem. 1973, 38, 1601; Pitner, T.P.; Edwards, W.B.; Bassfield, R.L.; Whidby, J.F. J. Am. Chem. Soc. 1978, 100, 246.
9. For leading references to similar effects in the piperidine series, see: Vierhapper, F.W.; Eliel, E.L.; Zuniga, G. J. Org. Chem. 1980, 45, 4844.
10. We assume that Mannich ring closure of the presumed azacyclononadiene intermediates is more rapid than loss of their stereochemical integrity. All results obtained to date are consistent with this assumption.
11. $\Delta H^\circ = 4.3$ kcal/mol: Turner, R.B.; Mallon, B.J.; Tichy, M.; von E. Doering, W.; Roth, W.R.; Schröder, G. J. Am. Chem. Soc. 1973, 95, 8605.
12. Although an isomeric aminoalcohol was not detected in the rearrangement of 6, its formation and subsequent rearrangement cannot be rigorously excluded at this time.

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